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**Augmenting Steller Sea Lion Surveys in the Western Aleutians with Unmanned Aircraft  
North Pacific Research Board – Project Number 1120**

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**Abstract**

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New techniques for counting Steller sea lions (SSL) using unmanned aircraft systems (UAS) were tested. These techniques were proven to be useful. The UAS and imaging technologies have several characteristics that may make them superior to previously used airborne survey methods. Additionally, it may be possible to conduct survey operations by UAS more frequently, more safely, at a lesser cost, and in a wider range of weather conditions than comparable surveys employing manned aircraft. Utilizing small UAS for aerial survey operations could increase the rate of SSL monitoring, and would thus lead to a better understanding of the animal population's vital rates and dynamics.

## Key Words

- 19
- 20
- 21 AeroVironment – UAS manufacturer
- 22 Aeryon – UAS manufacturer
- 23 Aleutians – Western island chain of Alaska that is area of interest
- 24 DSM – Digital Surface Model
- 25 EDPS – Eastern Distinct Population Segment
- 26 EO – Electro Optical
- 27 FAA – Federal Aviation Administration
- 28 FAR – Federal Aviation Regulations
- 29 Georeferenced – A method of locating an image in a mapping system
- 30 Haulout – Terrestrial location where SLL rest
- 31 Infrared – Portion of the thermal spectrum invisible to the human eye
- 32 Loss of Link – When two-way communications between the aircraft and pilot is broken
- 33 Mosaic – Single image of large geographic extent created from many smaller individual images
- 34 NMFS – National Marine Fisheries Service
- 35 NMML – National Marine Mammal Laboratory
- 36 NTSC – National Television System Committee
- 37 Ortho – An image where all pixels are in their correct geographic coordinates
- 38 Puma – UAS manufactured by AeroVironment
- 39 Rookery – Terrestrial location where SSL pups are birthed
- 40 Scout – Small UAS manufactured by Aeryon
- 41 See and Avoid – Rules for pilot vigilance to see neighboring aircraft and avoid mid-air collisions
- 42 Sense and Avoid – Evolving sensor technology to sense neighboring aircraft and avoid mid-air collisions
- 43 SfM – Structure from Motion
- 44 SSL – Seller sea lion
- 45 Structure from Motion – Technique for constructing depth fields from overlapping images
- 46 Take – Disturbing an animal during a survey
- 47 UAF – University of Alaska Fairbanks
- 48 UAS – Unmanned Aircraft System
- 49 VTOL – Vertical Take Off and Landing
- 50 WDPS – Western Distinct Population Segment
- 51

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**Citation**

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## Study Chronology

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121 The original Principal Investigator (PI) for NPRB Project 1120 was Gregory Walker at the University of

122 Alaska Fairbanks (UAF), Geophysical Institute. Mr. Walker departed UAF in 2014 and Dr. Keith W.

123 Cunningham assumed the role as PI, organized the data/metadata, and authored this report. Dr.

124 Cunningham is with the UAF Scenarios Network for Alaska and Arctic Planning, which is part of the

125 International Arctic Research Center.

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## Introduction

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128

129 Counting the Steller sea lion (SSL) populations is important to understanding their declining population.

130 Existing methods of counting SSL are difficult. Biologist on shore near rookeries and haulouts using

131 high-power optics to make the counts is time consuming. Aerial surveys with manned aircraft are also

132 problematic because of the harsh weather and distance between airfields, limiting where and when air

133 photos can be collected for the animal counts. This research with unmanned aircraft systems (UAS),

134 operating from a ship, demonstrated that the technology could greatly assist and improve the SSL surveys.

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## Objectives

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Objective 1: Evaluate multiple unmanned aircraft platforms and imaging solutions to collect the necessary imagery.

We considered several unmanned aircraft systems that we believed could operate in the austere and harsh weather of the Aleutians. Two aircraft were selected for evaluation. The Aeryon Scout is a rotary-wing aircraft. The AeroVironment Puma is a fixed-wing aircraft. Both aircraft have optical imagers capable of transmitting streaming video, saving high-resolution images, as well as thermal-infrared imaging. More details on how this objective was accomplished can be found in chapters 3-5.

Objective 2: Conduct unmanned aircraft flights over both known SSL sites previously surveyed and unsurveyed coastline to demonstrate and evaluate the capability of the aircraft to equal or improve on the quality of data collected by manned aircraft surveys.

Both aircraft were launched from a ship. The Scout made hovering landings on the ship and the Puma, being marinized, utilized a splashdown recovery next to the ship. The Scout has a 20-minute flight operation and the Puma has a 3.5-hour endurance.

In practice, the ship would station about a mile from the Steller sea lion haulout or rookery. The aircraft were launched and loitered over the haulout/rookery to collect imagery. The aircraft then returned to the ship and the biologist could then count the animals from the images. More details on how this objective was accomplished can be found in chapter 6.

Objective 3: Engage with SSL scientists to compare benefits and drawbacks of manned and unmanned survey operations. This comparison will include as many factors as can be evaluated and include the potential benefits of decreased weather limitations and airfield requirements and compare to current observation practices.

The Aeryon Scout had sufficient flight endurance to ferry to the area of interest, collect the imagery, and return to the ship. The Puma's significantly longer endurance was useful for scouting beaches searching for unknown haulouts/rookeries.

The Puma's fixed-wing is much like a glider, making its performance in stiff and variable winds more

170 problematic than the more stable quad-rotor design of the Scout. The Scout operated well in 40-knot  
171 winds. Both aircraft operated well in rain and snow. More details on how this objective was  
172 accomplished can be found in chapter 6.

173

174 Objective 4: Evaluate all collected data, including costs, and prepare and present a detailed report  
175 describing the expected and discovered outcomes. Present as part of this report a detailed estimate of the  
176 investment and operations costs of mounting a UAS operation to provide routine survey operations.

177

178 The imagery collected was adequate for counting the Steller sea lions. In some cases the resolution was  
179 good enough to read the brand uniquely identifying the animal. Another discovery was that both UAS  
180 operated quietly, thus did not appear to disturb the resting animals. On one occasion, a resting SSL was  
181 noticed looking up at the hovering Scout aircraft, which appeared to be the only disturbance. More  
182 details on how this objective was accomplished can be found in chapter 6.

183

184 Costs associated with operating the Scout and Puma are presently on par with manned aviation and  
185 traditional SLL survey flights in the Aleutians, which is expensive. The pricing for the Scout and Puma  
186 UAS is about the same pricing for military applications. However, this pricing will begin to decline  
187 rapidly as the Federal Aviation Administration (FAA) determines the rules for the safe integration of the  
188 technology into the National Airspace System (NAS). This will introduce price competition as additional  
189 companies vie for non-military applications of the UAS technology. For example, the list price of the  
190 Scout is approximately \$80,000 with additional costs for equipment spares. Because that cost represents  
191 a barrier to affordable research, UAF developed a comparable UAS costing about \$15,000 in 2014, and  
192 these prices are likely to continue to decline.

193

194 There are other costs that will decline and be eliminated. Standardization of UAS ground control stations  
195 will simplify UAS pilot training, reducing operations costs. Also the necessary permissions from the FAA  
196 for this research project will be waived with future FAA flight regulations, savings costs associated with  
197 the administrative paperwork to comply with Federal Aviation Regulations.

## Chapter 1. Background

198

199

200 Steller sea lions range across the North Pacific and are divided into two distinct populations (Figure 1).

201 Since the 1970's, the population of Steller sea lion (*Eumetopias jubatus*) has declined dramatically. The

202 Western Distinct Population Segment (WDPS) stock is currently listed as an endangered species in the

203 Aleutian Islands, and the Eastern Distinct Population Segment (EDPS) is listed as threatened<sup>1</sup>.



204

205 Figure 1: Range of Steller Sea Lions<sup>2</sup>

206

207 The SSL decline is unexplained. Commercial overfishing has been implicated in the species' population  
208 decrease because as, opportunistic marine predators, the SSL feed on a variety of fish. Another direct  
209 factor could be predation by orcas, and a variety of indirect factors such as pollution and climate change  
210 may also play a role. However, no definitive links have been established between any of these possible  
211 factors and the SSL decline.

212

213 Nonetheless, authorities are acting upon the assumption that overfishing is largely to blame for the SSL  
214 decline. The National Marine Fisheries Service (NMFS) is in the process of finalizing a biological  
215 opinion that would propose mitigation measures that would curtail the commercial fishery in the central  
216 Aleutians and eliminates fishing in the western Aleutians entirely<sup>3</sup>.

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1 <http://www.nature.com/nature/journal/v436/n7047/full/436014a.html>

2 [http://www.nature.com/nature/journal/v436/n7047/fig\\_tab/436014a\\_F1.html](http://www.nature.com/nature/journal/v436/n7047/fig_tab/436014a_F1.html)

<sup>3</sup> Fritz, 2005.

217  
218 The National Marine Mammal Laboratory (NMML) annually conducts manned aerial surveys to monitor  
219 the SSL population. Animals resting at haulouts (Figure 3) and birthing at rookeries are counted by  
220 biologists using aerial photography. These counts provide the data that enables the NMML to count pups  
221 and non-pups, estimate age-specific survival and reproductive rates, and determine the age of animals at  
222 their first natality. The surveys also allow for the observation of marked (branded) animals, and may  
223 provide information on seasonal and inter-annual movements and emigration over longer time frames  
224 than are possible with satellite tagging and tracking methods.

225  
226 These aerial surveys span the entire range of the SSL in Alaska from the southeast panhandle to the  
227 western Aleutians. This is an enormous distance, comparable to that between Florida and California.  
228 Flights are in the summer months of June and July, and typically employ a DeHavilland Twin Otter  
229 aircraft (Figure 2) carrying two pilots, three biologists, and a mapping camera.

230



231  
232 Figure 2: DeHavilland Twin Otter aircraft

233  
234 Undertaking these surveys can be extremely challenging. Aircraft crews routinely encounter adverse  
235 weather in the Aleutians: rain, fog, and gusty winds are the norm. Safety requirements for manned  
236 aviation must account for issues of visibility, ceiling, cloud decks, icing, and winds. Further, the distance  
237 between airports in the western Aleutians presents a major safety and logistics issue: airfields at Dutch  
238 Harbor, Atka, Adak, and Shemya may be hundreds of miles apart. These limitations inhibit the ability to  
239 consistently and adequately survey remote locations. Consequently, there are years in which known sites  
240 or entire segments of the survey are not inventoried.

241



242

243 Figure 3: Typical Steller Sea Lion Haulout in the Western Aleutians

244

245 The obstacles confronting manned aerial surveys of SSL habitat are such that assessment is sometimes  
246 impossible. From the 2009 through the 2012 breeding seasons, NMML was unable to survey a 300-mile  
247 stretch of the Aleutians that includes the endangered Western District Population Segment (wDPS). The  
248 primary reasons for this failure were fog obscuring the rookeries, poor aviation weather, and deficient  
249 airport logistics.

250

251 Further complicating manned aerial surveys are the stringent regulations that have been put in place  
252 because of environmental concerns regarding the SSL. The typical flight height above SSL haulouts and  
253 rookeries is 1,000 feet, and the lowest permissible altitude is 500 feet. These altitudes have been  
254 established in order that the aircraft's noise and moving silhouette are less likely to disturb the resting  
255 animals. SSL flee into the water when disturbed, reducing the accuracy of the population count.

256

257 Unmanned aerial systems (UAS) offer the potential to augment SSL population surveys and improve the  
258 collection of population data. Though UAS operations are an attractive option for aerial population  
259 surveys because they are comparatively less risky than manned flights, they offer the additional potential  
260 benefit of permitting a wider range of operations in narrower weather windows. Ship-deployed UAS  
261 could also enable opportunistic surveys in close proximity to haulouts and rookeries; they may also  
262 enable previously unknown sites to be located.

263

264 More frequent and complete surveys permit more accurate population counts, enabling better wildlife and  
265 resource management. More frequent and opportunistic surveys are likely best performed with smaller

266 UAS that require correspondingly smaller supporting systems. To test this hypothesis, small UAS  
267 weighing less than five kilograms were evaluated for flexibility, cost, and collection efficiency of imagery  
268 for population counts.

269

270 Research goals include:

- 271 • Effect of UAS on SSL Takes
- 272 • UAS Overview
- 273 • UAS Selected
- 274 • UAS Operations
- 275 • UAS Imaging & SSL Surveys
- 276 • Conclusions
- 277 • Outreach

## Chapter 2. Effect of UAS on Steller Sea Lion Takes

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The use of UAS as a survey platform is novel. Potentially adverse effects of employing UAS for population surveys are similar to those of manned aircraft, which have occasionally been known to disturb resting SSL. Because the SSL is classified as endangered, Marine Mammal Protection Act of 1972 regulations governing the “taking” of marine mammals applies to these surveys. The term “take” originally implied the lethal collection (intentional or unintentional) of an animal for the purpose of the science being conducted. The term has been broadened to include the harassment of the animals as part of the science, and may include disturbing the resting animals. In the case of SSL at rookeries and haulouts, a “take” also encompasses instances of animal behavior that would not have occurred if an aircraft were not present, including an alert behavior.

There are two ways a UAS may trigger a “take.” The sound of the UAS flying nearby could disturb SSL, and the silhouette of the aircraft could startle the animal. A “take” in the simplest case could be defined as an animal looking at the aircraft; a “take” in its most extreme case would occur when an animal is flushed into the sea.

Manned aircraft typically have a large profile, fly above 300 meters (1,000 feet) altitude, and their engines can be quite loud. While UAS will operate at lower altitudes and are significantly quieter, methods of mitigating their noise and profiles may be required.

Sound volume (intensity) decreases exponentially with distance, in accordance with the inverse square law. The DeHavilland Twin Otter flying at 1,000 feet has a sound level of 52 dBA. The ambient background sound of waves and winds is typically 55 dBA. If the Twin Otter were to drop in altitude for the aerial imaging, the sound would increase above the ambient background level and the SSL may be disturbed. It is anticipated that the small motors and propellers of UAS would allow significantly lower-altitude imaging surveys than manned aircraft without disturbing the SSL.

The Twin Otter's 65-foot wingspan creates a sizable profile. Because small UAS possess wingspans that are typically less than 15 foot, they may operate at much lower altitudes with comparable profiles. The Twin Otter flying at 700 feet has a similar profile to a fixed-winged UAS flying at 100 feet and smaller rotary-winged UAS flying at 75 feet.

311 Flying lower and slower with a quiet UAS should reduce the number of takes recorded by SSL aerial  
312 population surveys.  
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### Chapter 3. Unmanned Aircraft Systems Overview

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An unmanned aircraft system is typically considered to be an aircraft that can be programmed to fly itself; thus, the UAS requires an autopilot with a positioning and navigation system. The UAS also carries a payload that can also be programmed to perform a function – for example, a camera that collects an image every second. This is the significant distinction between a UAS and remotely operated or remotely piloted aircraft.

The “system” component of the term unmanned aircraft system describes all of the supporting people and equipment that are involved in operating the aircraft and payload. Larger UAS have specialized equipment to launch and recover the aircraft. Some UAS are so large that they require an airfield for operation, just like manned aircraft. There may also be equipment such as air traffic radar to manage the airspace in which the UAS is operating.

Completing the system are the pilot and other personnel supporting the UAS mission. The pilot monitors the aircraft and payload and is part of the “sense and avoid” process required by the Federal Aviation Administration (FAA) to avoid collisions with other aircraft. Manned aircraft follow comparable “see and avoid” procedures to prevent mid-air collisions.

Current FAA rules govern civilian operation of the UAS and there are several operational restrictions to ensure aviation safety, especially the safety of any manned aircraft that may be operating in the vicinity. These rules include requirements for ground observers and the restriction of UAS operations to the observers' line of sight. A telemetry link must be used to issue commands and control the aircraft, which requires a two-way radio system between the ground crew and the aircraft. Line-of-sight operation typically means a short telemetry link of only a few miles; thus, the radios are low powered.

Operationally, a UAS is also programmed to respond to a loss of communications with the pilot and ground crew. When the telemetry link is lost, the UAS may be programmed to fly to the “home” from which it was launched, to ascend in an attempt to reestablish the telemetry link, or even to descend in altitude and deploy a parachute so the UAS can be safely recovered. The FAA is working to establish standards and protocols related to recovery of these “lost” UAS, but there are drawbacks to all approaches.

Other personnel may be operating the aircraft payload, such as a real-time video camera and the sensors,

348 which collect other data. Additional personnel may also have a role in the post-processing of the sensor  
349 data. Thus the “system” is not just the aircraft and payload, but all of the people and equipment  
350 supporting the mission. The system may thus include a biologist who uses the data collected to conduct  
351 the SSL population count.

352  
353 The UAS considered in this research are small and do not require an airfield or the support facilities that  
354 are necessary for operating a manned aircraft. They can be launched and recovered from a ship at sea or  
355 by a team boated to shore near the haulouts and rookeries. Ship and shore operations of UAS provide a  
356 range of flight operations that would not be possible when employing a manned aircraft because of low  
357 cloud ceilings and intermittent weather conditions such as rain showers and fog. Shore operations with  
358 biologists also studying the sea lions have additional advantages discussed later.

359  
360 UAS Considered

361  
362 UAF has worked with many UAS in a variety of locations. These include several operations with the  
363 National Oceanographic and Atmospheric Administration (NOAA) aboard their research vessels in the  
364 Arctic and North Pacific. The graphics below show the launch of UAS while at sea on the NOAA  
365 research vessel *Oscar Dyson* in Puget Sound. The second graphic is of the recovery of a UAS on the  
366 NOAA research vessel *MacArthur II* in the Bering Sea (Figure 4).



370 Figure 4: Prior UAS Missions on *Oscar Dyson* and *MacArthur II* in the Bering Sea

371  
372 A variety of aircraft were considered for operations in the North Pacific and Aleutians (Table 1). These  
373 platforms were selected primarily because of their performance in a variety of weather conditions,  
374 especially in the rain and gusty winds which are typical of the Aleutians.

375  
376

377 Table 1: Specifications of Selected UAS

Aircraft	Manufacturer	Airframe	Endurance	Weight
Scout	Aeryon	Rotary wing	25 minutes	1.3 kilograms
Puma A/E	AeroVironment	Fixed wing	2 hours	5.9 kilograms
Resolution	ATI	Fixed wing	2 hours	6.8 kilograms
ScanEagle	Boeing	Fixed wing	24 hours	20 kilograms

378

379 The Scout is manufactured by Aeryon, which is based in Waterloo Ontario, Canada. UAF has operated  
 380 this UAS in -40 temperatures during the January 2012 fuel delivery in Nome, Alaska by the Russian fuel  
 381 tanker Ronda. The Scout’s operational specification also describes operating in winds as high as 50 kph  
 382 and gusts up to 80 kph, which could be necessary for work in the Aleutians (Figure 5).

383



384 Figure 5: Scout in Flight in the Aleutians

385

386 AeroVironment of Monrovia, California manufactures the Puma All Environment. The large wingspan of  
 387 the PUMA provides efficient flying with an electric motor, but becomes a liability when winds are high  
 388 and variable. The Puma A/E has demonstrated flight in weather conditions that would be considered  
 389 “good to fair” for the western Aleutian Islands (20 kph winds, rain, etc.). The Puma is hand launched  
 390 (Figure 6) and because it will float it may be safely recovered from the water after a deep stall. NOAA  
 391 considered purchasing six of these platforms for its own research; thus, this platform was selected for SSL  
 392 surveys.



393  
394 Figure 6: Puma ready for hand launch

395  
396 The Resolution is under development by Airborne Technology Incorporated, based in Wasilla, Alaska.  
397 The Resolution has the advantage of being a marinized aircraft, and salt-water recovery would be useful  
398 while working in the Aleutians. Unfortunately the aircraft was not ready for deployment in the 2011  
399 sailing or the 2012 campaign. Figure 7 shows the Resolution operating from a NOAA research vessel.



400  
401 Figure 7: Resolution Catapult Launch  
402

403 The ScanEagle is manufactured by the Boeing subsidiary Insitu, which is located in Bingen, Washington.  
404 The ScanEagle was originally designed to replace manned helicopters in the tuna fishing industry. UAF  
405 owns nine ScanEagle UAS. It supports a larger payload than the other UAS used in this study and has  
406 long endurance; thus, it is well suited for testing a variety of sensors. However, the ScanEagle's larger  
407 payload and longer endurance give it the drawback of a significantly larger operational footprint – it  
408 requires dedicated launch and specialized recovery equipment. Though an extremely capable UAS, its  
409 operational footprint is not efficient for the short surveys of rookeries & haulouts. Additionally, as the  
410 ScanEagle has been previously evaluated in the Bering Sea (Figure 8) in conditions similar to the Aleutian  
411 Islands, it was decided not to deploy the aircraft as part of this SSL survey research.



412  
413 Figure 8: ScanEagle in Bering Sea Trials

414  
415 The criteria used to select the UAS systems for SSL survey mapping are fairly straightforward. The  
416 systems must be commercially ready. The launch and recovery of the UAS must be possible from a ship

417 at sea. The UAS should be capable of flight and data collection in the typical weather of the Aleutians.  
418 The UAS needs an endurance of about 20-30 minutes, which is the time required to launch, fly a few  
419 kilometers to the area of interest, observe the SSL and collect high resolution images, and return to the  
420 ship with a margin of time for safe recovery. Last, the UAS need both an optical and infrared camera with  
421 real-time video via the operational telemetry control. Thus the Scout and Puma were selected.

## Chapter 4. Unmanned Aerial Systems Selected

422

423

424 Aeryon Scout

425

426 The Scout is classified as a small Unmanned Aircraft System (sUAS). It weighs 1.4 kilograms and is  
427 transported with the aircraft in one watertight case and the ground control system (GCS) in another  
428 (Figures 9 & 10). The UAS can be assembled and launched in less than a minute, though mission  
429 planning and programming using the tablet computer GCS is required.

430



431

432 Figure 9: Aeryon Scout and Ground Control Station

433

434 The Scout's quad-rotor design gives it vertical take-off and landing (VTOL) capabilities, which means  
435 that it does not require launch and recovery equipment. The Scout also permits hand-recovery, which  
436 worked well during the trails at sea. Operational ceiling is 500 meters, but lower flights will be flown to  
437 achieve the image resolution necessary to count SSL.

438

439 The operator can monitor flight details and see real-time video images with the GCS operated from the  
440 tablet computer. The UAS has self-stabilizing avionics to minimize image blur. This enables operators to  
441 navigate, search the area of interest, and record high-resolution imagery for the animal counts. Color and  
442 infrared cameras are mounted on a gimbal that the pilot/operator can point on an area-of-interest (AOI)  
443 while the aircraft is in flight.

444



445  
446 Figure 10: Scout Ground Control Station and Spare Batteries

447  
448 The Scout is battery powered and flies quietly. Flights are limited to the charge of the lithium polymer  
449 (LiPo) battery, which is approximately 25 minutes. As the telemetry link is line-of-sight, the Scout's  
450 battery life and communications system limits its operational range to about three kilometers. The Scout  
451 is weatherized and can operate in rain, as well as in sustained winds of 50 kph and wind gusts up to 80  
452 kph.

453  
454 Operational Narrative

455  
456 The Scout is assembled in the ship's wheel house (Figure 11) and carried out to the deck. A self-check  
457 begins after it is turned on. The pilot monitors the system status via the GCS and if the operational  
458 parameters are satisfactory, the UAS is ready for launch. With the takeoff command, the Scout lifts off  
459 and follows a programmed course to avoid obstructions on the ship such as its crane, mast, antennae, etc.



460 Figure 11: Aeryon Scout in Ship's Wheelhouse

461

462 The system climbs to a programmed altitude of 122 meters and begins flying a preprogrammed route.

463 While routes can be planned with the GPC, the system can also navigate using waypoints, and can be  
464 programmed to fly a grid pattern to optimize the collection of imagery.

465

466 Because of the limited operational endurance of 25 minutes, routes were planned so that the UAS would  
467 return to the ship after a 16-minute mission so as to include a safety margin. Longer flights of 20-minutes  
468 were conducted when the UAS was operated from the shore. The UAS's battery may be swapped out with  
469 a charged spare after it has returned to base. Even with these limitations on endurance and safety, we were  
470 able to collect complete imagery of rookeries and haulouts from a ship one mile off shore.

471

472 Imagery was collected at altitudes of 30 meters without disturbing the animals (Figure 12). When another  
473 flight was conducted at 15 meters one animal did notice the UAS. Flights at the higher altitudes allow the  
474 operator to orient the direction of the camera searching for the animals. The altitude was decreased when

475 the animals were located and high-resolution images were collected.



476 Figure 12: Beach Haul Out at Finch Point, March 23 2012, imaged by the Scout at 30 meters altitude.

477

478 The UAS lands itself at its base or other programmed location. The UAS descends to about two meters  
479 where the Scout's ultrasonic altimeter then assists to complete the landing, either on ground or the ship's  
480 deck. On contact, the system then powers down so that the pilot/operator may safely approach it.

481

482 The system can also be “snatched” from the air if there is too much heave or pitch in the ship deck for a  
483 reliable auto land. In this maneuver a ground operator wearing safety gear (gloves, helmet, and visor)  
484 grabs the aircraft from a hover about two meters from the deck. Once the two landing struts are held, the  
485 operator rotates the system 90 degrees to its side, which signals the UAS to power-off, the props. This  
486 method of recovery is also desirable if there are many obstacles on the flight deck, which could damage  
487 the propellers. This “snatch” maneuver has been part of the training with the system, but for safety  
488 reasons, the technique is only used when an autonomous landing is not possible (Figure 13).



489

490 Figure 13: Hand Recovery of Aeryon Scout during Aleutian Campaign

491

492 The Scout UAS was also operated from shore. On a small launch, the pilot, operator, and accompanying  
493 biologists went ashore some distance from a haulout. The biologist then approached the Steller Sea Lions  
494 to observe their behavior when the UAS was collecting imagery. This process is described later.

495 AeroVironment Puma AE

496

497 The Puma AE is also classified as a sUAS. Weighing almost six kilograms and with a 2.6 meter wingspan,  
498 it is essentially a hand-launched glider. Assembly is more involved than the Scout, with the fuselage  
499 carried in one case and the wings in another case. The GCS is also more complex than the Scout and  
500 possesses a longer-ranged encrypted communications and control system primarily because the system  
501 was designed for military, not civilian applications.

502

503 Puma AE system operations typically involve a two-person team. Being hand-launched, the operator  
504 holds the UAS while the pilot starts the electric motor. On command, the operator throws the aircraft  
505 forward, ideally into a head wind (Figure 14). The UAS autopilot then takes control.

506

507 The Puma has an operational altitude of 300 meters and its large wings allow for two-hour flight  
508 endurance with a range of 10 kilometers. This enables larger areas to be surveyed via live video than  
509 would be possible with the Aeryon Scout. During this mission, the average flight was only 36 minutes.  
510 Like the Scout, the Puma's electric propulsion allows it to operate quietly and without disturbing the  
511 animals. When images of animals need to be collected, the altitude is decreased to improve image  
512 resolution.

513

514 The Puma optics for this mission were inferior to the Scout's, and because the minimum altitude at which  
515 the aircraft could be comfortably flown was above 200 feet the imagery was inferior for animal counting  
516 and inadequate for brand identification. The infrared sensor proved adequate for simple and quick SSL  
517 animal counts, but high-resolution color camera will be a system requirement to produce high-resolution  
518 photomosaics.

519

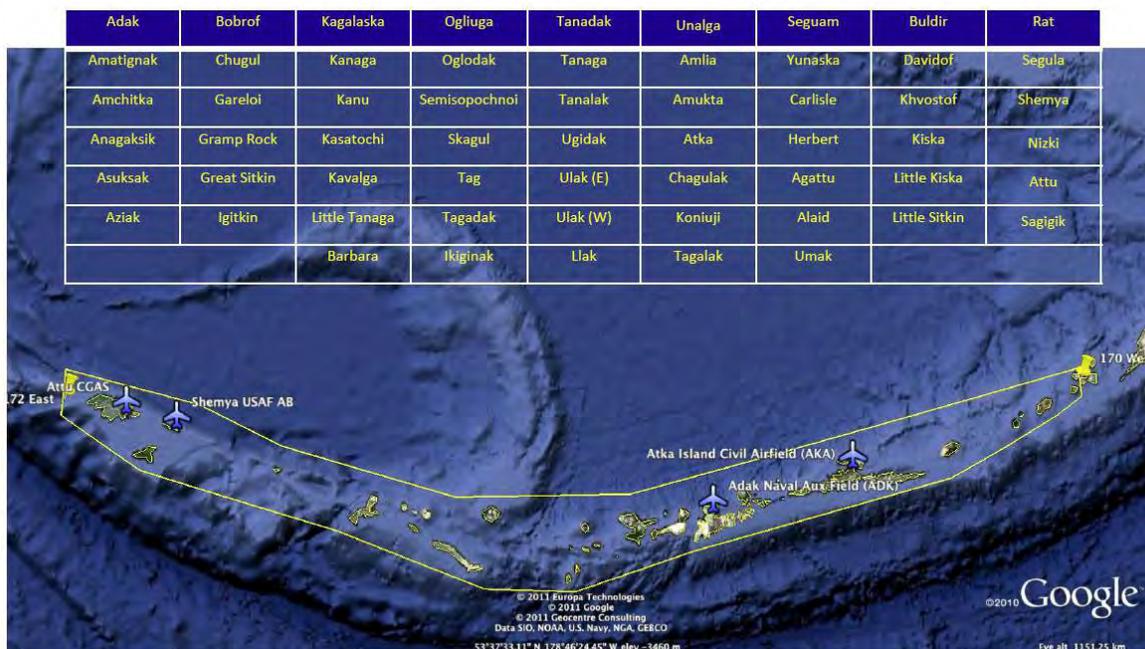
520 Recovery of the Puma at sea utilizes a deep stall before the UAS splashes into the salt water. The  
521 recovery operator is in a small boat positioned off the ship and the pilot using the GCS specifies the  
522 location of the splash down relative to the ship. The splash down occurs about 10-20 meters from the  
523 launch. After splashdown the recovery operator motors to the Puma and lifts it out the water and places it  
524 in the boat.



525 Figure 14: Hand Launch & Water Recovery on 2012 Aleutian Campaign

## Chapter 5. Unmanned Aircraft Systems Operations

526  
 527  
 528 For both manned and unmanned aircraft there are other mission components that must be planned before  
 529 the surveys can be conducted. These include a variety of management functions and operational logistics.  
 530 However, UAS operations face some unique issues that are specified by the Federal Aviation  
 531 Administration's (FAA) guidelines for operating UAS.  
 532  
 533 This includes working with the SSL biologists to identify the locations of the known haulouts and  
 534 rookeries. In this study the area of interest encompassed the chain of Aleutian Islands from False Pass to  
 535 Attu Island (Figure 15). This AOI includes 50 islands with 54 SSL haulouts. Supporting mapping data of  
 536 the AOI are collected for the Western Distinct Population Segment. These locations are used to acquire  
 537 the flight permissions required from the FAA.



538  
 539 Figure 15: Western Aleutian Islands for 2012 Survey Campaign

540  
 541 The FAA considers aviation safety one of its most important missions. The Federal Aviation Regulations  
 542 (FAR) are the specific rules all pilots follow to ensure safe aviation. These rules work when the pilot is  
 543 onboard the aircraft, but in the case of the UAS, the pilot is not onboard. With the pilot on the ground, it  
 544 is not possible to follow the vigilant “see and avoid” rules that may prevent collisions with other aircraft.  
 545 Thus the UAS pilot must remain in visual line of sight control of the UAS while watching the airspace.  
 546 Spotters on the ground are also used to monitor the airspace to better manage potential aviation

547 emergencies.

548

549 UAS technology is rapidly evolving, and in the future sensing systems that enable “sense and avoid” rules  
550 may augment the “see and avoid” rule. Sense and avoid technology that would allow UAS to detect  
551 encroachments from other aircraft and steer clear of potential collisions. However, this technology is only  
552 now in development and will not be operationally ready until at least 2016.

553

#### 554 Certificate of Authority

555

556 To safely manage the National Air Space (NAS), the FAA only grants permissions to operate UAS in  
557 certain circumstances. The process of receiving this permission requires filing with the FAA paperwork  
558 that specifies the mission, operation, and safety systems that will be used. After public comment and the  
559 FAA’s approval, which may take some time to be granted, a certificate of authority (COA) is issued.

560

561 There are many rules for acquiring a COA. First, UAS has to be classified by the FAA as a “public  
562 aircraft,” which essentially means that the UAS cannot be operated for any commercial purposes.  
563 Therefore the entity operating the UAS has to be a public organization conducting non-commercial, non-  
564 profit research. Further, a COA can presently only be issued for operations in restricted or segregated  
565 airspace that is not available to normal air traffic. UAS are currently banned from all commercial  
566 applications for these reasons.

567

568 UAF is a public, research institution and these aerial surveys of the Steller sea lions are classified as  
569 research. The COA for the sea lion surveys was more complex in that it includes a very large geographic  
570 region and that two types of UAS were to be utilized from both ship and shore.

571

572 One requirement of the COA is that the UAS will always be flown at a maximum altitude of 122 meters  
573 over the open water and 160 meters maximum altitude when over the shore scouting and imaging the  
574 animals. These maximum altitude restricts are for the safety of manned aircraft flying nearby.

575

576 UAF has a long history of working with the FAA to receive COA for a wide range of applications and  
577 locations. One of the tools UAF possesses to help the FAA understand the risk of UAS to nearby manned  
578 aircraft is a small, portable air traffic monitoring radar. UAF has also developed its Portable Airspace  
579 Surveillance System (iPASS) to supplement the requirement of visual observers (Figure 16).

580



581  
582 Figure 16: The iPASS Radar to Monitor Airspace

583  
584 During this survey campaign, a representative from the FAA Alaska Region participated as an observer  
585 and spotter. This allowed the FAA to better understand UAS technology and this particular application of  
586 SSL surveys.

587  
588 Communications Loss of Link

589  
590 The COA specifies the area and time of operations, the certifications (training and licensing) of the pilot,  
591 two-way-communications systems, and the rules to be followed in the event of communication failure  
592 (loss of link) with the ground control station (GCS). The two selected UAS follow programmed rules in  
593 the event that they lose communications.

594  
595 In the case of the Puma, the loss of link emergency procedures are: 1) Land Now, by which the UAS  
596 immediately follows an auto-land program, 2) Go-to-Rally, by which the program flies to a prior-  
597 specified landing waypoint and executes the auto-land program, or 3) Finish Flight, by which the aircraft  
598 continues the mission according to the programming and then executes the auto-land program. Because  
599 the Puma is watertight and floats, a water landing is a satisfactory emergency recovery.

600  
601 In the case of the Scout, the emergency procedures for loss of link are: 1) Land Now, by which the  
602 program lowers itself at its current position, and 2) Rally to the take-off position and land. Note that in  
603 the case of the Scout, if the UAS attempts to land over water the aircraft will sink and be lost.

## Chapter 6. UAS Imaging & SSL Surveys

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Two groups – NMML biologists and UAS researchers – received research grants from the North Pacific Research Board. The NMML biologists from the Alaska Ecosystem Program were to investigate the relationship between SSL population trends and the animals' winter diet. The UAF unmanned aircraft group received the research grant in order to determine how unmanned aircraft systems could be used to augment the Steller sea lion population surveys. The biologists needed to visit each SSL rookery and haulout in order to conduct a visual count of the animals and collect scat to analyze their winter diet. The UAS researchers also needed to travel to each haulout and rookery in order to conduct the UAS survey flights.

The joint-research campaign began March 4, 2012. Starting in Dutch Harbor, over the course of three weeks the cruise traveled 650 nautical miles west to Adak and back. The *R/V Norseman*, a ship originally built for crab fishing in the Bering Sea, was chartered for the cruise (Figure 17). The craft was refit for extended research expeditions in the North Pacific and Arctic, and its accommodations and large bridge allowed the UAS pilot/operator to work in coordination with the biologists and the ship's crew.



621  
622 Figure 17: R/V Norseman  
623

624 March weather in the Aleutians is windy, wet, and cold (Figure 18). Temperatures are below freezing at  
625 night and warm to just above freezing during daylight hours. On average, the sky is cloudy or overcast  
626 three-quarters of the time. Though precipitation is not typically heavy, rain, freezing rain, and snow are  
627 common and the air is humid – the dew point averages 21° F. Sea ice is not unusual.

628  
629



630 Figure 18: Ice Floe & Deck Ice near Atka on March 11 (left) and March 14 (right), 2012.

631

632 Eleven operational staff were onboard the *R/V Norseman*, including two NOAA Corps officers, five  
 633 NMML biologists, three UAS pilots, and an observer from the FAA (Table 2).

634

635 Table 2: Survey Team for Aleutian Campaign

Individual	Affiliation	Duties
Gregory Walker	UAF	UAS Principal Investigator Pilot-in-Command (both Aircraft) Radar Operator
David Giessel	UAF	Radar Lead Aeryon Scout Operator Alternate Flight Observer
Jay Skaggs	FAA	Project Observer
Taylor Nobles	AeroVironment	Puma AE Operator Alternate Flight Observer
CDR Nancy Hamm (formerly Ash)	NOAA Corp.	Aircraft Support Project Observer Alternate Flight Observer
LTJG Van Helker	NOAA Corp.	Aircraft Support Project Observer Alternate Flight Observer
Lowell Fritz	NOAA NMML	Diet Study Principal Investigator Cruise Principal Investigator Harassment Permit Co-Investigator
Sara Finneseth	NOAA NMML	Mammal Technician
Cary Kuhn	NOAA NMML	Mammal Technician
Vladimir Burkanov	NOAA NMML & Consultant	Camera Technician & Mammal Technician
Yura Burkanov	Vladimir's son	Support Staff

636

637 The procedure for conducting the SSL count and winter diet analysis follows these general steps:

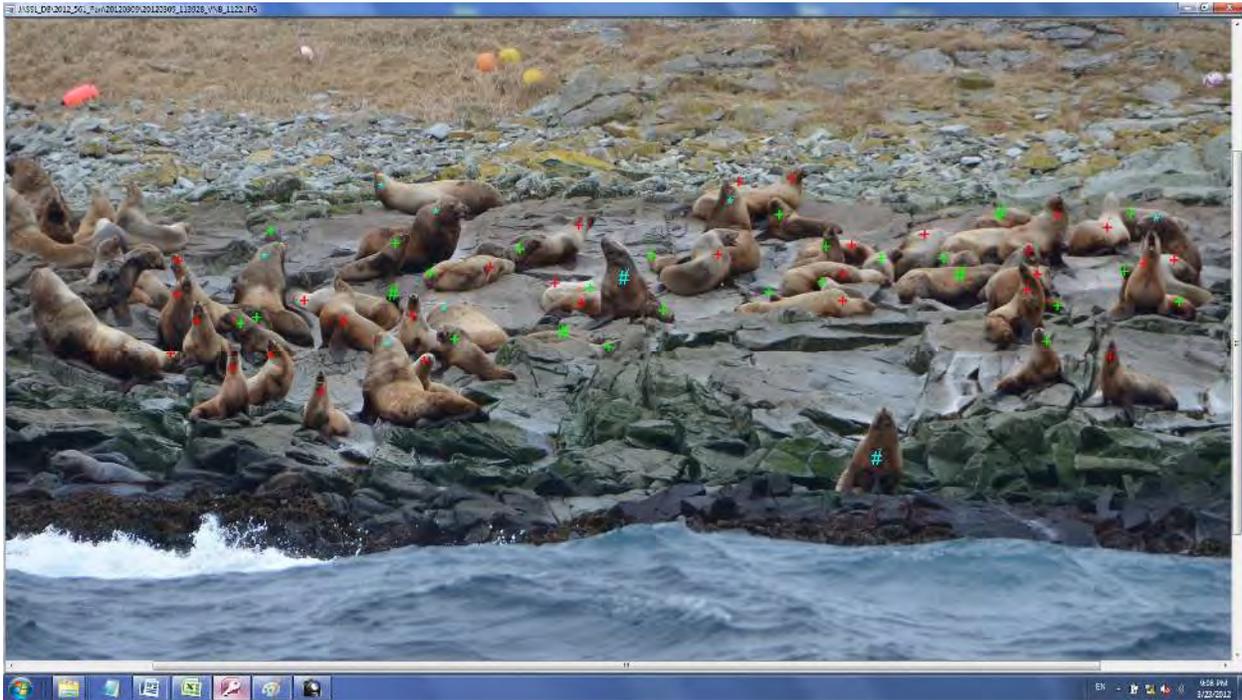
638

- 639 1. The research ship approaches the known SSL haulout or rookery.
- 640 2. The ship maintains a distance that will not disturb the resting Steller sea lions.
- 641 3. A shore party of biologists and NOAA Corp officers prepares the small boat launch.
- 642 4. The shore party lands at a sufficient distance from the SSL that the animals are not disturbed.
- 643 5. Attempting to remain unnoticed by the animals, the biologists approach the SSL.
- 644 6. The biologist then observes, counts, and classifies the SSL (Figures 19 & 20).
- 645 7. The UAS is prepared for operations –the Puma is used in calm winds and the Scout in strong.
- 646 8. The UAS flies over the haulout while biologists observe and report via radio with the pilot.
- 647 9. The UAS surveys the haulout with live streaming video to confirm the presence of SSL.
- 648 10. The UAS collects high-resolution images of the area of interest.
- 649 11. The biologist monitors the SSL to determine if they are disturbed by the UAS operation.
- 650 12. The UAS returns to the ship and the high-resolution imagery is downloaded.
- 651 13. The biologists then approach the SSL to look for and capture images of branded animals.
- 652 14. The biologists then flush the animals into the water so that scat can be collected for later analysis.
- 653 15. At three locations, solar-powered time-lapse cameras were set up:
- 654 16. Attu/Cape Wrangell
- 655 17. Agattu/Cape Sabak
- 656 18. Agattu/Gillon Point
- 657 19. The shore party returns to the ship.
- 658 20. The UAS imagery is processed for aerial SSL counts.
- 659 21. The biologist counts the SSL from the UAS imagery and compares this to the field count.
- 660 22. Field notes regarding disturbed animals are compared to imagery of disturbed animals.
- 661 23. Notes from the day’s mission are recorded in a journal.



662 Figure 19: Biologists Ashore Observing SLL on Haulout with R/V Norseman in the Background

663 The biologists count the sea lions from shore and boat as well as collecting supporting images to verify  
 664 SSL numbers on March 9, 2012 (Figure 20).



665  
 666 Figure 20: Image of SSL Collected from Boat by Biologists. This image was collected from the boat.

667  
 668 The biologist then opens this image in Adobe Photoshop and clicks on the image of an individual SSL,  
 669 leaving a symbol that classifies the animal into one of nine categories (Table 3). A script within the  
 670 program totals each classification according to the following symbols:

671  
 672 Table 3: Codes for SSL Classification Counts

Symbol	Code	Description
*	1TF	Male defending territory
*	2TN	Male not defending territory
*	3AN	Male (just another male)
#	4Sa	Sub adult male
+	5F	Female
+	6J	Juvenile
#	7U	Too little imaged to determine
+	8P	Pup
x	9DP	Dead pup

673

674 Even in foul weather, the biologists attempted to perform field counts with observations from land and  
675 sea. But poor weather conditions can interfere with accurate and complete counts. High sea swells can  
676 prevent the boat launch from approaching the haulout at a sufficient distance for the biologists to camera  
677 images. Worse weather even can prevent the shore party from landing. It was not possible to survey all  
678 the SSL haulouts and rookeries that were chosen for the project during the three-week campaign.

679  
680 Aerial images were to be collected in order to confirm the biologist's counts from ship and shore. As with  
681 the manned aircraft used in previous aerial SSL surveys, UAS operations can be adversely affected by  
682 weather. Of the planned 49 missions to image 54 haulouts, the weather was satisfactory to operate the  
683 UAS in only 19 of these missions. But on a positive note, this was actually more missions than the team  
684 originally expected to fly.

685  
686 Because of the risk of icing, neither UAS would be flown if temperature were near or below freezing.  
687 Wind was another significant issue, and neither platform was flown when the winds in excess of 30 knots  
688 were recorded. Further, the Puma could not be flown if the seas were too rough for a boat launch because  
689 the aircraft requires a splashdown recovery.

690  
691 Both the Scout and Puma proved able to fly in rainy conditions provided the rain was not heavy.  
692 However, water on the camera lens degraded the quality of the streaming video and high-resolution  
693 images (Figure 21).

694



695  
696 Figure 21: Raindrop on the UAS camera lens

697  
698 Table 4 lists the UAS missions at 19 separate survey sites. In March 2012, the Puma flew nine missions  
699 over nine sites, while the Scout flew 30 missions over 15 sites. The survey site field is the haulout or  
700 rookery surveyed by the biologists where scat was collected and the UAS operated. Wind is in knots and  
701 flights are the number of missions flown with each respective UAS; in the case of the Scout, the UAS  
702 returned to the research vessel between flights to swap batteries and download images.

703

704 Table 4: UAS Operations, Locations, Weather, and Notes

Date	Survey Site	Wind	Precipitation	Scout Fights	Puma Flights	Biologist & Pilot Flight notes
6	Ulak – Hasgox Pt	25	None	1	1	Silent flights
8	Semisopochnoi – Pochnoi	25	None		1	
9	Amchitka – East Cape	20	None	3	1	Silent flights
9	Amchitka – Column Rock	20	Snow & Rain	1		Silent flights
9	Amchitka – St Makarius	25	None		1	Silent flights
10	Kiska – Cape St Stephen	Light	None	2	1	
13	Agattu – Gillon Pt	20	Snow		1	Excellent scouting tool
14	Agattu – Gillon Pt	10	Snow	2		Poor illumination
14	Attu – Cape Wrangell	10	None	1		
15	Attu – Cape Wrangell	10	Snow	6		
16	Attu – Cape Wrangell	Light	None	2	1	Wrong haulout – UAS identified correct one
18	Rat	20	None	2	1	UAS operated on shore
19	Kavalga	5	Snow	1		
19	Kanaga – Ship Rock	10	None	4		Collected high-res stills for 3D photomap
21	Atka – North Cape	10	Snow	1		
21	Salt	5	None	1		
23	Seguam – Finch Pt	20	None	2		
23	Seguam – Saddleridge	25	None	1		
24	Adugak	5	None		1	

705

706

707 Note that strong winds were typical. In general, the Scout performed better in the higher winds than the  
708 Puma, primarily because of the difference in the aircraft designs. The Puma's large wings make it behave  
709 more like a glider, and its flight characteristic is more like a hover when it is pointed into the wind. The  
710 Scout's quad-rotor design is better suited for flying into the wind than the Puma, but it is only effective in  
711 wind speeds below 30 knots.

712 Over 3,000 Steller sea lions were identified and counted by the biologist. On top of these numerical  
 713 population counts, the biologist attempted to determine the sex and age of the individual animals, i.e.  
 714 bulls, sub-adult males, females, and juveniles. The UAS helped collect imagery used to count 1,797  
 715 animals.

716 Table 5: SSL Survey Locations, Counts, and Notes

Date	Survey Site	Biologist Count	UAS Count	Takes	UAS Count & Take Notes
6	Ulak – Hasgox Pt	52		0	No reaction to UAS
8	Semisopchnoi – Pochnoi	1		0	
9	Amchitka – East Cape	196	192	0	No reaction to UAS
9	Amchitka – Column Rock	8	9	0	No reaction to UAS
9	Amchitka – St Makarius	50		0	No reaction to UAS
10	Kiska – Cape St Stephen	18		0	
13	Agattu – Gillon Pt	3		0	Scouted for other SSL
14	Agattu – Gillon Pt	0		0	
14	Attu – Cape Wrangell	100		0	Animals spooked by shore party – no UAS imagery
15	Attu – Cape Wrangell	30		0	No reaction to UAS
16	Attu – Cape Wrangell	28	32	0	New haulout located
18	Rat	96	79	5	20 SSL on offshore rocks, not on imagery
19	Kavalga	103	96	0	No reaction to UAS
19	Kanaga – Ship Rock	163	157	0	No reaction to UAS
21	Atka – North Cape	100	191	0	Camera gimbal issue – poor images: Age & sex impossible
21	Salt	175	106	0	60 SSL on offshore rocks, not on imagery
23	Seguam – Finch Pt	700	635		65 SSL on offshore rocks, not imaged
23	Seguam – Saddleridge	20		8	UAS flushed 8 SSL
24	Adugak	250	300	0	Low resolution image – could not assign age & sex

717 The number of “takes” - that is, occasions during which the SSL were disturbed by or became attentive to  
718 the UAS – seems to have been very modest. The sound of the UAS did not appear to cause the animals to  
719 take note of the aircraft; even at low-level flights of 50-75 feet, the noise signature of either UAS is  
720 comparable to that of ambient sound such as wind and waves. The animals did not appear to notice the  
721 aircraft provided that the UAS continued to move (not hover).

722

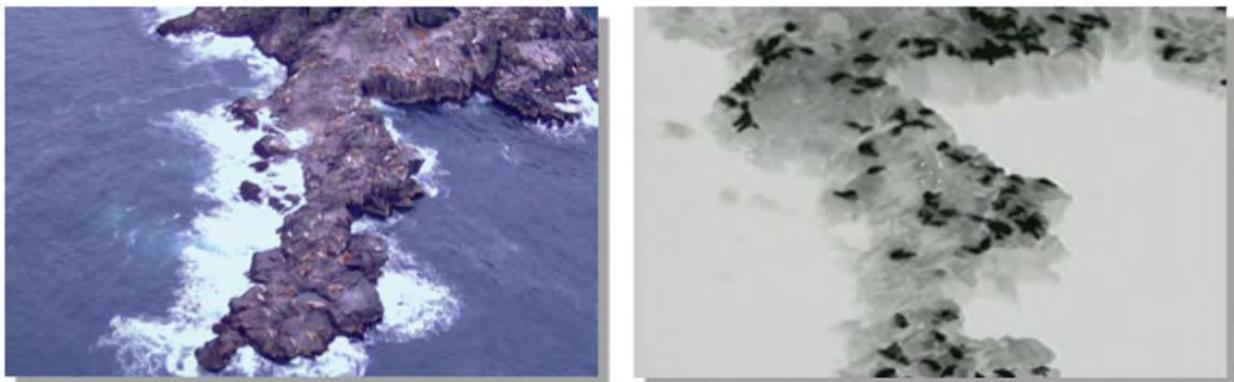
723 There was one notable occurrence of a near-take during the project: on March 19 at Krysi Point, Rat  
724 Island, a male SSL vocalized when the Scout flew over the haulout at 50 foot. The roar alerted the entire  
725 group of 56 animals (4 adult males, 4 sub-adult males, 20 adult females & 28 juveniles). All the animals  
726 rose up, some approached the water, and five entered the water. However, the biologists on the shore  
727 team determined that this reaction was not a response to the hovering Scout, although they did radio the  
728 UAS pilot to increase altitude to 75 feet. After the aircraft climbed, the animals seemed to become less  
729 active and they returned to a resting posture. The Scout finished collecting high-resolution imagery, and  
730 the biologists then spooked all of the animals off the beach in order to collect scat.

731

### 732 Optical and Infrared Imaging

733

734 Both UAS are equipped with cameras and radios to transmit real-time video. The electro-optical cameras  
735 operate in both color and thermal infrared. Figure 22 shows the Puma’s real-time video; the image to the  
736 left is in color, while the image to the right is thermal infrared (black assigned to hot). The pilot-operator  
737 can switch among all modes in order to better inspect the area of interest.



738

739 Figure 22: Color Video and Thermal Infrared Video from Puma

740

741 The infrared imaging allows the animals to be easily identified and counted. However, the resolution and  
742 quality of the real-time video from the Puma was insufficient to determine sex, age, and other  
743 characteristics. Also, working with the infrared camera requires that there be sufficient contrast between

744 the warm animal and a cool background of the haulout. This was not an issue with imaging the SSL in  
745 March, but could become problematic during the summer, the season in which seal pups are born and  
746 counted by biologists. Seasonal molt of the SSL could also affect the infrared imaging quality.

747

748 With its two-hour endurance, infrared imaging, and effective altitude of 75-400 feet, the Puma is a very  
749 good tool for scouting the presence of SSL. It provides a wide field of view and does not disturb the  
750 animals.

751

752 Metadata is embedded in the collected images (Figure 23). The metadata provides unique details such as  
753 the time and GPS coordinates of the aircraft when the image was collected. Metadata may also include  
754 compass navigation data indicating the direction the UAS is traveling. Camera metadata also includes the  
755 make of the sensor, and the camera's orientation on the gimbal when the image is captured. The thermal  
756 infrared image below shows the metadata "branded" onto the still image.

757



758

759 Figure 23: Thermal Infrared Image with Metadata Branded in the Image Collar

760

761 The warm Steller sea lions are shown as white in this example of an infrared still-image from Adugak.  
762 The infrared camera can be switched between representing hot targets as either white or black, which  
763 assists biologists in discerning the animals against the background.

764

#### 765 Hi-Resolution Still Images

766

767 High-resolution images of the animals were taken with the Scout, which carries a 5 megapixel GoPro  
768 camera with a fisheye lens. The camera is gimbal mounted and the pilot can point the camera in various  
769 directions. In Figure 24, several animals are shown hauled out on a beach at Rat Island with some recent  
770 snow. The image quality here is sufficient to classify the animals' age and sex. The image also shows  
771 two of the four legs on the Scout aircraft.



772

773 Figure 24: Typical High Resolution Image from Scout – Note the Image Distortion from Fish Eye Lens

774

775 Some Steller sea lion individuals can be identified by a unique serial number branded on its flank (Figure  
776 25). These brands are made to the animals at their natal rookeries when they are one to two month old  
777 pups. The first letter in the serial number identifies the rookery at which the SSL individual was born.  
778 The original brand is two or three inches tall and grows in size with the growth of the animal.

779

780 The serial numbers are used to create a “resight” database. The seasonal and annual resighting of an

781 individual animal helps to determine its regional movements and it's mixing among other SSL  
782 populations. Identifying unique animals by branding also helps to assess maternal activity and age-  
783 specific survival rates.

784



785

786 Figure 25: Branded Animal – The Number 16 is Visible on its Flank

787

788 The same method was used by the biologists to count and classify the SSL using Adobe Photoshop  
789 software and a counting script (Figure 26, Table 6). The airborne imagery collected with the UAS can be  
790 used to count and classify the animals. Figure 26 is of a haulout imaged by the Scout; the single scene  
791 can be used for the survey.

792



794

795 Figure 26: Aerial Image Used Counted by Biologists

796

797 The classification scheme here is the same for the counts performed with the non-airborne imagery.

798

799 Table 6: Same Count Classification Scheme as used earlier

Symbol	Code	Description
*	1TF	Male defending territory
*	2TN	Male not defending territory
*	3AN	Male (just another male)
#	4Sa	Sub adult male
+	5F	Female
+	6J	Juvenile
#	7U	Too little imaged to determine
+	8P	Pup
x	9DP	Dead pup

800

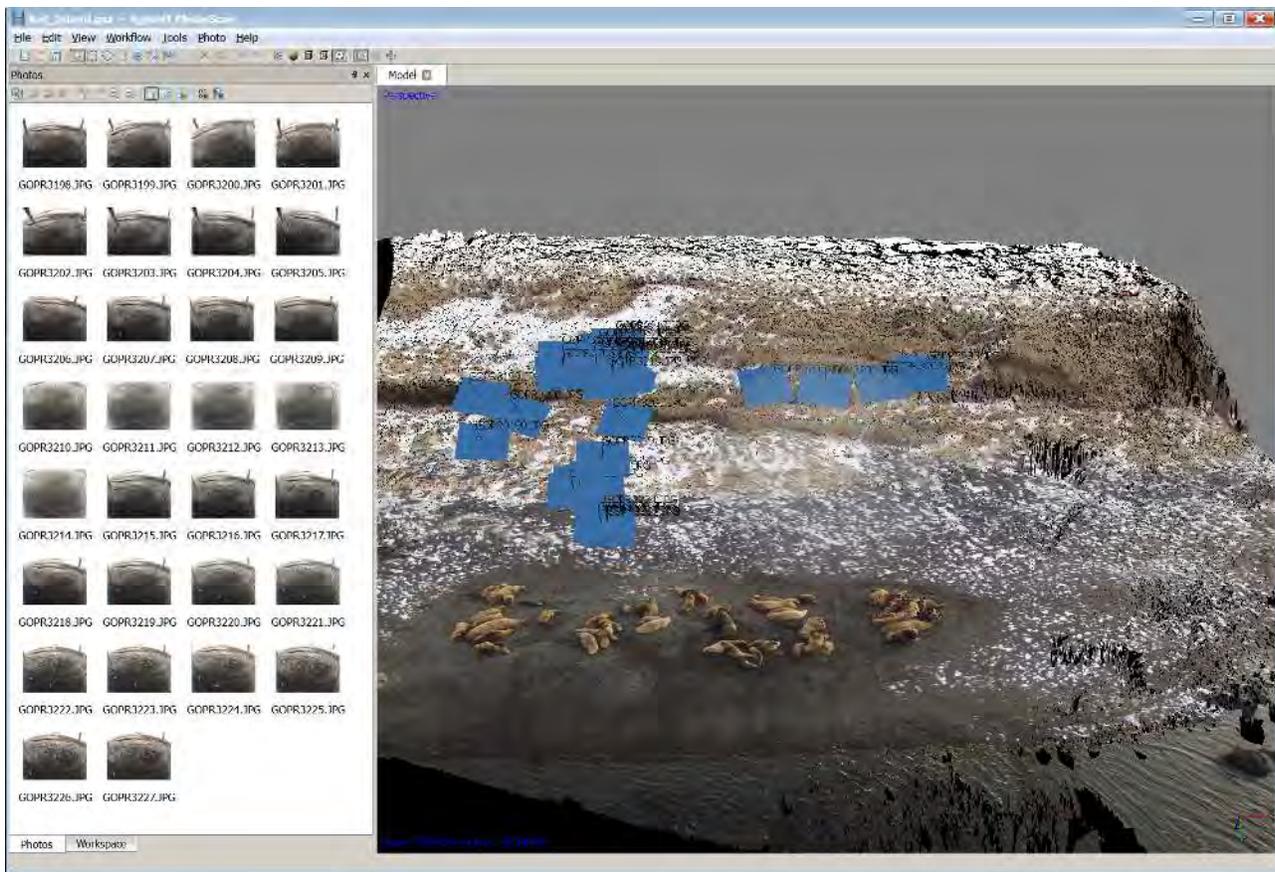
801 Many airborne images may be required to complete the count of the haulout or rookery is extensive, or

802 there are a large number of animals present. Though it was not a stated research goal of the project, the

803 investigators wanted to determine if image-processing software could be utilized to generate single

804 mosaics from tens or even hundreds of individual images. They also felt that it would be desirable to  
805 georeference the mosaics, which would make them more useful when integrated into a geographic  
806 information system.

807  
808 30 individual images were stitched together to create the mosaic (Figure 27) from Rat Island. Significant  
809 image overlap – of about 80% or more – is required for the software to make this process work. The  
810 mosaic process also reduces the image distortion of the fish-eye lens.



811  
812 Figure 27: Mosaic Created from 30 Individual Still Images. Camera Position is represented by Blue  
813 Squares

814  
815 The mosaic software calculates the position and orientation of the camera on the UAS. Figure 27 shows  
816 the resulting mosaic viewed from an oblique angle. The camera positions are represented by a blue frame  
817 that shows its position and orientation. This perspective can be changed so that the observer can look at  
818 this photo-model from any desired location. If the image metadata has position coordinates, the software  
819 will utilize the information to embed georeference data into the mosaic.

820

821 This technology is called structure from motion because it uses the moved location (motion) of the  
822 camera to reconstruct the image as a depth field (structure) from all of the images. The concept is that the  
823 parallax from many images at changing locations can render the three-dimensional depth of features in  
824 the overlapping images. Every pixel in every image is mathematically repositioned into its near-true  
825 coordinates relative to every other pixel's coordinate.

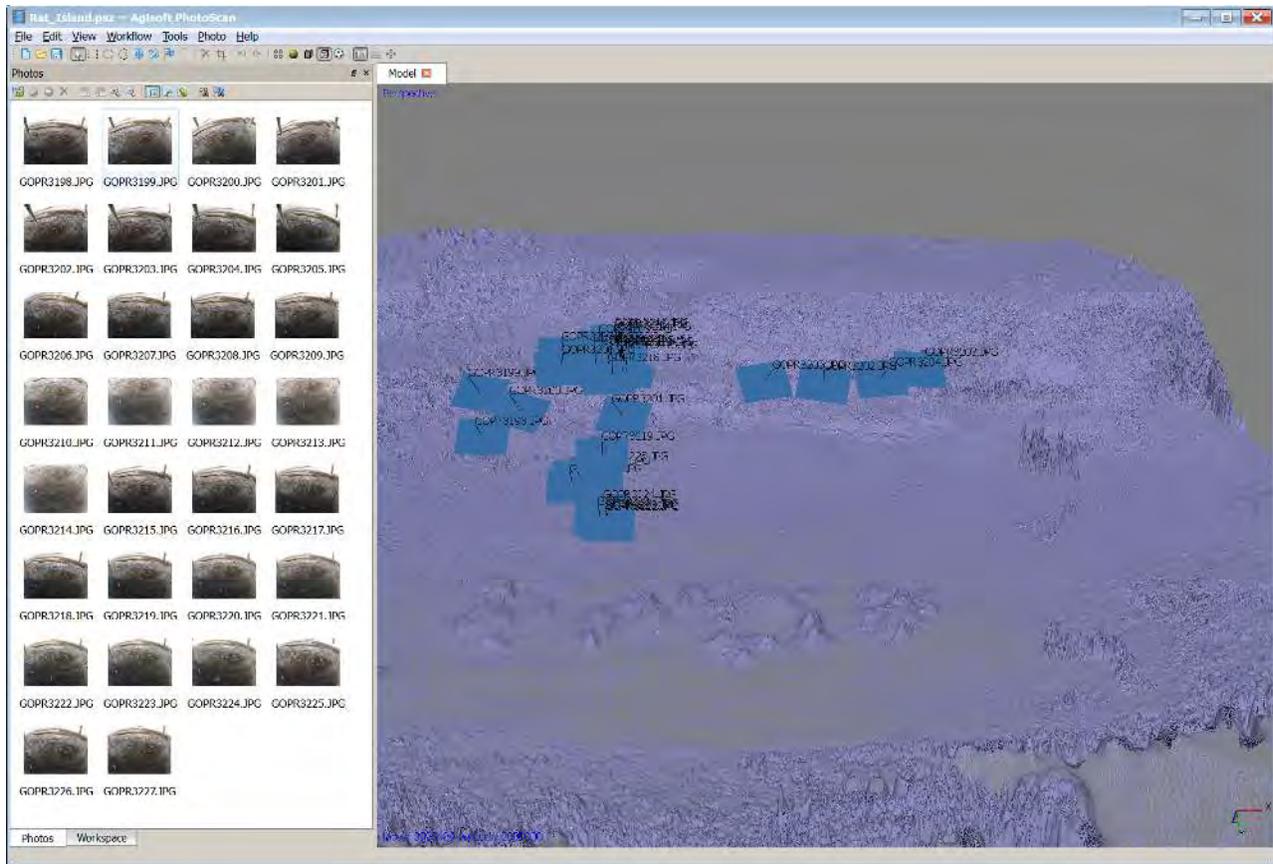
826

827 A very helpful discovery relevant to mosaic-making was made in the course of this project: the camera  
828 does not need to be pointed straight down at the ground (nadir) to collect imagery that can be mosaicked.  
829 As mosaic can still be created with oblique imaging, the UAS does not have to fly or hover directly over  
830 the animals.

831

832 A digital surface model upon which images are draped is another interesting data product which is native  
833 to the structure from motion technology (Figure 28). The surface model is a wireframe with a height (Z)  
834 coordinate for each XY map coordinate. In the example below, the SSL appear as hummocks on the  
835 smooth beach. Note that the spikes are the water surf – because the surf changes position in each image,  
836 it creates noise in the structure from the motion process. This noise can be removed with some manual  
837 data processing but is irrelevant to the SSL counts.

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839

840 Figure 28: Three-Dimensional Wireframe Model Used in the Ortho-Mosaic Process

841

842 This image-draped three-dimensional wireframe allows the photo model to be rotated, panned, and  
 843 zoomed. As this may make it possible to estimate the actual size of individual animals, we are currently  
 844 considering how this capability could be of use to NMML biologists. UAF is continuing research into the  
 845 structure from motion technology, paying considerable attention to determining optimum camera, lenses,  
 846 and focal lengths, as well the optimal UAS altitudes that would maximize the accuracy of the three-  
 847 dimensional depth field.

848

849 The data products created by these mosaic and wireframe techniques are very large. But geographic  
 850 information systems technology can readily use the two-dimensional mosaics and ortho images. The  
 851 structure from motion software used is called Photoscan and is produced by Agisoft in St. Petersburg,  
 852 Russia. While other users such as biologists can purchase and use the Photoscan software for their own  
 853 analysis, the three-dimensional photo models can be exported in many other formats, including Adobe  
 854 PDF. Even as a PDF, the user can rotate, pan, and zoom in the wireframe and photomodel.

855 **Conclusions**

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The goal of this research project was to determine the ways in which the use of UAS could augment the counting of endangered Steller sea lion populations in the Aleutians western district population segment (WDPS). As part of this broader objective, the team investigated the following: the components of UAS survey operations; the appropriate UAS to select for the Aleutian environment; the performance of each UAS selected for the project during the March NMML diet study; the way in which the UAS may effect SSL “takes”; and how the research findings may be disseminated through public outreach.

UAS Components

Integrating UAS into the NMML assessment mission required several considerations. First, the UAF team coordinated with the NMML biologists – who were to study the SSL winter diet and perform the manual survey counts – in order to define the area of interest for the airborne surveys. The lengthy process of obtaining an FAA certificate authority for operating UAS in commercial airspace helped the team to develop procedures for safe aviation and airspace management; understanding the iPASS radar system was critical in this regard. The other components of the UAS mission – including pilots, observers, and data processing support – would be determined after the selection of the UAS platforms to be evaluated. Determining and chartering a suitable research vessel for the cruise was another important factor in the planning stage.

UAS Selection

The team only considered small UAS for the project in order to preclude the specialized equipment needed to launch and recover larger aircraft. Thus, the Boeing ScanEagle was ruled out on account of its large footprint. The ATI Resolution was also excluded because the prototype was not operational at the time of the cruise. The team was thus left to select the AeroVironment PUMA and the Aeryon Scout. The Scout's vertical take-off and landing capability make it particularly suitable for launch and recovery from a ship's deck. The Puma, on the other hand, is hand launched and must be recovered at sea after splashdown. Standard electro-optical cameras capable of streaming video and high-resolution images in both color and infrared were fitted to the UAS.

889 UAS Operations

890

891 Both the Puma and Scout were employed when adverse weather conditions did not preclude their  
892 operation; however, conditions were sufficiently calm to allow for flights in only nineteen of the potential  
893 forty-nine missions. In calm winds, the Puma's longer endurance made it an effective tool for locating  
894 SSL haulouts. The Scout operated better in brisker winds and its ability to hover ensured that adequate  
895 numbers of high-quality images could be collected. The Scout's ship-based recovery had advantages over  
896 the Puma's water recovery, but the fact that the Puma is maritized is an important consideration given an  
897 emergency scenario in which a splashdown recovery may be the only option.

898

899 UAS Evaluation

900

901 Both the color and infrared imagery allowed the team to make accurate counts of the animals. However,  
902 the biologists' classification of individual sea lions according to sex and age required high-resolution still  
903 images. When counts required more than one image, mosaicking software made it possible – provided  
904 there was sufficient image overlap – to process many images into a georeferenced mosaic.

905

906 Neither UAS was found to be an ideal match for the mission of surveying SSL populations in the  
907 Aleutians. While each aircraft supplemented the assessment team's ability to locate and produce imagery  
908 of SSL populations, the animal counts collected by the flights are speculated to provide more complete  
909 and accurate counts, especially after the individual aerial images have been mosaicked into a single image  
910 of the SSL resting place. A more reliable and effective UAS design that is better able to withstand Bering  
911 Sea winds will be required if the NMML is to justify the operation of UAS for wildlife population  
912 assessments. For example, a slightly heavier hexacopter airframe would likely provide better  
913 performance in winds than the quad-rotor design of the Scout.

914

915 Such a hexacopter airframe was developed by UAF in 2014 anticipating that the airframe design with two  
916 extra rotors would provide enhanced stability in gusting winds, thus meeting the challenges of the  
917 Aleutian operating environment. The hexacopter is also slightly heavier because of larger batteries, thus  
918 provides improved image stabilization. The costs for building the UAF hexacopter are \$15,000, about  
919 one fifth the cost of the off-the-shelf Scout. This new airframe would be a prime candidate for additional  
920 testing for SSL imaging in the Aleutians.

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### **Management & Policy Implications**

Two important conclusions can be made from this research.

First, unmanned aircraft systems can significantly augment the survey methods of Steller sea lions by providing biologists a tool to collect imagery of haulouts, rookeries, and beaches to count the animals.

We demonstrated that the aircraft could be easily deployed and operated from ships and shore, in a variety of weather, including circumstances that would limit manned aviation for safety concerns.

Second, the small UAS operate quietly. The low noise profile made it possible for the team to operate at a much lower altitude than manned aircraft; this in turn allowed the UAS to collect a greater quantity of detailed imaging data. Flights were typically conducted at an altitude range of 50-75 feet above the animals. Biologists on shore who monitored the behavior of the animals when the UAS were overhead could not conclusively determine if any disturbances occurred that were attributable to the presence of aircraft.

There are policy implications from these findings that can be applied to the improved management of the Steller sea lion population.

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## Outreach

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986

987 Unmanned aircraft systems are essentially flying robots, and as such project a particular charisma that is  
988 attractive to experts and laypersons alike. Additionally, UAS' high-profile military applications, along  
989 with the privacy concerns that have arisen concerning their use, have put the machines in the public eye.  
990 The UAS technology used by the NMML and UAF in the Steller sea lion assessments may thus serve to  
991 make the project a high-profile draw among the general public. Presenting the project in public venues  
992 could serve the dual functions of informing the public about SSL population decline, and highlighting the  
993 as-yet under-appreciated civilian applications of UAS technology. Featuring the project at science and  
994 nature centers worldwide may excite public interest and raise awareness of the research activities of the  
995 North Pacific Research Board and the National Marine Mammal Laboratory, and the University of Alaska  
996 Fairbanks' leadership in UAS technology.

997

998 The outreach element of the SSL assessment intended to generate an awareness of both the project and the  
999 NPRB, and to stimulate interest in its work. It will also attempt to incorporate audience feedback about  
1000 the project to the greatest extent possible. Though educational in nature, the outreach incorporates an  
1001 exciting story calculated to efficiently and effectively reach multiple audiences. The outreach strategy  
1002 only requires slight adjustments to account for the varying interests and understandings of the target  
1003 audience and venue, whether that be schoolchildren, the general public, interest groups, commercial  
1004 organization, scientists, or lawmakers.

1005

1006 Aside from the project's obvious "wow factor," it is attractive to the public for two reasons. First, the  
1007 assessment project's concerns – endangered species and commercial fishing interests – are highly visible  
1008 public interests. Second, the high-resolution mapping products created by the project are fairly easy to  
1009 interpret.

1010

1011 The outreach plan includes three elements, each of which contributes to the presentation's goal of  
1012 developing a succinct narrative that can be easily digested by general audiences.

1013

1014 *Written:* The storyline for this project is newsworthy, innovative and accessible, thus creating a  
1015 compelling message.

1016 *Graphical:* The visual nature of the UAS in flight, the images captured, and the charismatic Steller sea  
1017 lions all contribute to the creation of interesting posters and graphical presentations.

1018 *Video*: The research story is easily “told” in the form of a news story or a short educational video (three-  
1019 to-five minutes) that may be easily distributed via the internet.

1020

1021 Following initial planning phase, the “show” went on the road. First, the written story was shared with  
1022 the press, and the video with TV news programs. The graphic presentation material was taken to multiple  
1023 meetings and venues at which the audience had an interest in the research findings. The specific ways in  
1024 which the story was shared depended on the venue and audience. Presentation sites included the  
1025 following:

1026

1027 [2012 Outreach History](#)

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1029 Science for Alaska lecture series, with public lectures held in Nome (January 11, 2012), Fairbanks  
1030 (January 31, 2012), Juneau (April 18, 2012), and Anchorage (April 24, 2012).

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1048

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1050 Alaska Lieutenant Governor Treadwell organized the meeting to show the legislature what this hardware  
1051 is capable of accomplishing.

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